

ret No.: 64162-021 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Mitchell Keegan, et al. : Confirmation Number:

Serial No.: 09/807,519 : Group Art Unit: 1632

Filed: September 17, 2001 : Examiner: Wilson, Michael C.

For: DELIVERY SYSTEM FOR PORCINE SOMATOTROPIN

INFORMATION DISCLOSURE STATEMENT

Mail Stop Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

09/807,519

CERTIFICATION PARAGRAPH

The undersigned certifies that, to the best of his knowledge, each item of information

contained in this Information Disclosure Statement was first cited in a communication from a

foreign patent office in a counterpart foreign application not more than three months prior to the

filing of this Information Disclosure Statement as described in 37 CFR 1.97(e)(1).

Each non-English language reference was cited in a corresponding foreign application

search report or office action and its relevance discussed therein. A copy of the foreign search

report or office action, together with an English language version thereof, is attached for the

Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including

extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit

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Respectfully submitted,

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SHEET 1 OF 1

INFORMATION DISCLOSURE ATTY. DOCKET NO. SERIAL NO. 64162-021 09/807,519 CITATION IN AN **APPLICATION APPLICANT** Mitchell Keegan, et al. FILING DATE **GROUP** (PTO-1449) September 17, 2001 1632 **U.S. PATENT DOCUMENTS EXAMINER'S** Document Number Publication Date Name of Patentee or Applicant of Cited Pages, Columns, Lines, Where INITIALS MM-DD-YYYY Relevant Passages or Relevant Document CITE Number-Kind Code2 (# known) Figures Appear NO. US 5,681,818 10/28/1997 Spencer et al. US FOREIGN PATENT DOCUMENTS EXAMINER'S Name of Patentee or Pages, Columns, Lines Foreign Patent Document Publication Date Translation INITIALS Country Code3-Number 4-Kind Applicant of Cited Document CITE MYY Where Relevant Codes (if known) Figures Appear NO. Yes No OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, **EXAMINER'S** INITIALS journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where CITE TAI IT et al., "Microencapsulation of recombinant cells: a new delivery system for gene therapy", August 1993, 7(11): 1061-9. **EXAMINER** DATE CONSIDERED

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.